IAP3 Rec'd PCT/PTO 3 0 NOV 2005

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 3176 US0P

37 CFR 1.5) PRIORITY DATE CLAIMED May 30, 2003

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP2004/007770 May 28, 2004 TITLE OF INVENTION CONDENSED RING COMPOUND APPLICANT(S) FOR DO/EO/US Tsuneo YASUMA; Nobuyuki NEGORO; Kohji FUKATSU Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🔽 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 🔽 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. 1 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ~ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Cited References 12. V An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter,2 and 37 CFR 1,821-1,825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Return Postcard; Certificate of Express Mailing; PCT Request: Forms PCT/IB/301,304,306. 308; Cover page International Application: ISR 20. [4]

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

IAP9 Rec'd PCT/PTO 20 NOV 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

			, no persons are required to respon			audit utiless it displays a vali	O CIVID CONING HUMBE
U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2004/007770).	ATTORNEY'S DOCKET NUMBER 3176 US0P	
21. The follow	ing fees are submitted:						
a) Basic national fee\$300.00						\$	
b) Examination fee\$200.00						\$	
C) Search fee\$500.00						\$	
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$ 1,000.00	
listing or co	ee for specification and d	rawings fi ed in an e	led in paper over 100 sheets (lectronic medium). The fee is	excluding sequer			
Total Sheets Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)					
174 - 100 =	74 /50 =	2		x \$250.	.00	\$ 500.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS NUMBER FIL		LED NUMBER EXTRA RATE			\$		
Total claims	19	- 20 =	0	x \$50.00	,	\$ 0	
Independent cla	ims 2	- 3 =	0	x \$200.00	0	\$ 0	
MULTIPLE DEP	PENDENT CLAIM(S) (if ap	oplicable)		+ \$360.00	0	\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 1,500.00	,
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$	
SUBTOTAL =						\$ 1,500.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =					\$ 1,500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 40.00	
TOTAL FEES ENCLOSED =						\$ 1,540.00	
						Amount to be refunded:	\$
						Amount to be	
	**************************************					charged:	\$ 1,540.00
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 500799 in the amount of \$ 1,540.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 500799. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where a	in appropriate time limit restore the Internationa	under 37	CFR 1.495 has not been me	et, a petition to r	revive	(37 CFF 1.137(a) or (b)	must be filed
_	RRESPONDENCE TO:		F	01	/	1/1/	_
Mark Chao, Ph.D., JD						V	\sim
	maceuticals North America.	Inc		SIGNATI	URE		
						hao, Ph.D., JD	
Lincolnshire, IL 60069 USA NAME							
						,293	
REGISTRATI						ON NUMBER er No. 23,115	

FORM PTO-1390 (REV. 12-2004)

Page 2 of 2

IAP9 Rec'd PCT/PTO 30 NOV 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

Based on PCT/JP2004/007770

Filed:

Intl. Filing 28 MAY 2004

1st Inventor:

Tsuneo YASUMA

For:

Condensed Ring Compound

Atty. Dkt. No.

3176 US0P

Art Unit:

tba

Examiner:

tba

Allowed:

Ratch:

Paper No.:

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

USPS EXPRESS MAIL LABEL. No. EV 617428126 US

DATE IN:

November 30, 2005

Itemized Papers/Items:

- 1. This Certificate of Express Mailing and Return Postcard (2 pages)
- 2. Transmittal Letter for Filing under 35 USC 371 (2 pages X 2)
- 3. Specification total pages- 174, including Claims 1-29 (pages 170-173, and Abstract (1 page),
- 4. PCT Request (4 pages)
- 5. Declaration and Power of Attorney (4 pages)
- 6. Copies of Forms PCT/IB/301, 304, 306, 308 (7 pages)
- 7. Copy of Cover Page International Application (WO 2004/106276) (1 page)
- 8. Copy of International Search Report (2 pages)
- 9. Preliminary Amendment (3 pages)
- 10. Information Disclosure Statement (1 page), Form PTO/SB/08A (4 pages), cited references (17)
- 11. Executed Assignment (2 pages) and Recordation Cover Sheet (1 page X 2)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

MAIL STOP PCT P.O. BOX 1450

Commissioner for Patents Alexandria, VA 22313-1450

on this date, 11/30/05

Dated: 11/30/05

Gail L. Winskur

Takeda Pharmaceuticals North America, Inc. Intellectual Property Department 475 Half Day Road Lincolnshire, IL 60069 USA